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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	TKA0032
First Inventor	Yasushi Kohn
Title	METHOD OF PREVENTING DEFECTIVE GERMINATION DR...
Express Mail Label No.	EL360552287US

11/05/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status.
- See 37 CFR 1.27.
- Specification *(Total Pages* **16** *)*
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed Sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) *(35 U.S.C. 113)* *(Total Sheets* **1** *)*
- Oath or Declaration *(Total Pages* **2** *)*
 - Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63(d))
(continuation/divisional with Box 16 completed)
 - DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

- If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No.:	/
---------------------------------------	-------------------------------------	-----------------------------------------------------	---------------------------	---

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
- Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - CD-ROM or CD-R (2 copies); or
 - paper
 - Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement Power of Attorney *(when there is an assignee)*
- English Translation Document *(if applicable)*
- Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- Other:

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label **00832** or Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	Baker & Daniels				
Address	111 East Wayne Street, Suite 800				
City	Fort Wayne	State	IN	Zip Code	46802
Country	USA	Telephone	219-424-8000	Fax	219-460-1700

Name (Print/Type)	Michael S. Gzbowski	Registration No. (Attorney/Agent)	32,816
Signature	Michael S. Gzbowski		
	Date	November 5, 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$780.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Yasushi Kohno
Examiner Name	
Group Art Unit	
Attorney Docket No.	TKA0032

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
 Deposit Account Number **02-0385**

Deposit Account Name **BAKER & DANIELS**

Charge Any Additional Fee Required
 Under 37 CFR §§ 1.16 and 1.17
 Applicant claims small entity status
 See 37 CFR § 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$) Fee Code (\$) Fee Description

Fee Paid

101 740 201 370 Utility filing fee

740.00

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

SUBTOTAL (1) **\$740.00**

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims -20** = **0** X **0.00** = **0.00**Independent -3** = **0** X **0.00** = **0.00**Multiple Dependent **0** X **0.00** = **0.00**

Large Entity Small Entity

Fee Code (\$) Fee Code (\$) Fee Description

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 ** Reissue independent claims over original patent

110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **\$0.00**

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205	65 Surcharge - late filing fee or oath	
127 50	227	25 Surcharge - late provisional filing fee or cover sheet	
139 130	139	130 Non - English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215	55 Extension for reply within first month	
116 400	216	200 Extension for reply within second month	
117 920	217	460 Extension for reply within third month	
118 1,440	218	720 Extension for reply within fourth month	
128 1,990	228	980 Extension for reply within fifth month	
119 320	219	160 Notice of Appeal	
120 320	220	160 Filing a brief in support of an appeal	
121 280	221	140 Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240	55 Petition to revive - unavoidable	
141 1,280	241	840 Petition to revive - unintentional	
142 1,280	242	640 Utility issue fee (or reissue)	
143 460	243	230 Design issue fee	
144 620	244	310 Plant issue fee	
122 130	122	130 Petitions to the Commissioner	
123 50	123	50 Processing fee under 37 CFR § 1.17(d)	
126 180	126	180 Submission of Information Disclosure Statement	
581 40	581	40 Recording each patent assignment per property (or each transfer of patent or property)	40.00
146 740	246	370 Filing a submitted after final rejection (37 CFR § 1.129(a))	
149 740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279	370 Request for Continued Examination (RCE)	
169 900	169	900 Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

Complete if applicable

Name (Print/Type)	Michael S. Gzybowski	Registration No. (Attorney/Agent)	32,816	Telephone	219-424-8000
Signature	<i>Michael S. Gzybowski</i>	Date	November 5, 2001		

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Yasushi Kohno

Docket No.

TKA0032

Serial No.

Filing Date

Examiner

Group Art Unit

Invention: **METHOD OF PREVENTING DEFECTIVE GERMINATION OR ROSETTE FORMATION SEED**

I hereby certify that the following correspondence:

UTILITY PATENT APPLICATION

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

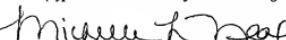
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

NOVEMBER 5, 2001

(Date)

MICHELLE L. NEAL

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EL360552287US

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